



ERIC S. ("RICK") CARLSON

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Practice Emphasis

Business Law
Commercial Finance
Corporate Finance & Securities
Venture Capital
General Business
Technology Licensing
Federal and State Securities Regulation

Rick's practice focuses on general business with a concentration in corporate finance, venture capital, securities, debtor-creditor issues, executive compensation, and technology licensing. He has experience dealing in franchising, asset securitization, private placement of securities, securities registrations and '34 Act reporting for public companies, reorganizations, mergers and acquisitions, business formations and shareholder dispute resolution. Prior to entering private practice, Rick served a two year clerkship with the Honorable John L. Coffey of the United States Court of Appeals for the Seventh Circuit. He has also served as an adjunct professor at Seattle University Law School teaching mergers and acquisitions.

Representative Client Industries

Software
Internet
Manufacturing
Real Estate Development
Financial Services

Education

J.D., The College of William and Mary, *Order of the Coif*, 1992
William & Mary Law Review, Executive Board, Student Articles Editor
B.A., Washington State University, *cum laude*, 1983 (Phi Beta Kappa)

Bar/Court Admissions

Admitted to Washington Bar, 1992
Admitted to California Bar, 2003
Admitted to U.S. Court of Appeals for the Seventh Circuit, 1993
Admitted to U.S. Court of Appeals for the Ninth Circuit, 1995

Professional & Civic Involvement

Washington State Bar Association (Business Law Section Executive Committee 2004-present)
Bellevue Convention Center Authority Board of Directors (2005-present)

Seminars & Publications

Chair, "Washington Business Law Mid-Year," 2008
Co-Chair, "Look Before You License: Valuation, Negotiation and More," 2005
Speaker, "Securities Updates: Regulation D Offerings," 2005
Speaker, "Mergers & Acquisitions in Washington," NBI, 2000 and 2002
Speaker, "Mergers & Acquisitions From Start to Finish," NBI, 2002
Speaker, "Counseling the Small Business Client in Washington," NBI, 2002
Author, "Securities Issues for the Small Business Issuer," 1999-2001
Author, "Personal Liability of Officers and Directors Under the Law," 1997
Author, "Overview of Private Placement Funding for Residential Real-Estate Developers," 1996
Author, "The Interface Between Bankruptcy and Community Property: The Maginot Line" 1995
Author, "The Impact of Bankruptcy on Real Property Transactions," 1995
Speaker, "U.S. China Legal Systems and Trends," Shantou University - People's Republic of China. 1994